# Classroom Procedures

# **Provost Office: Classroom Policies and Procedures**

#### **Time Committed to Instruction**

Members of the teaching staff are expected to create the best possible learning environment for their students (see <u>Professional Ethics and Academic Responsibility</u>, *Operations Manual III-15*) and to follow recognized University procedures in the conduct of their classes. The following general procedures are required under College policy:

- Classes begin and are dismissed promptly at the scheduled times.
- Students need information on all scheduled time commitments to a course. Activities like field trips must be announced in information available to students before the semester begins.
- The College will not authorize the scheduling of examinations on Saturdays or Sundays (except in courses that are regularly scheduled to meet on Saturday or Sunday). Final examinations are administered at the times designated by the Office of the Registrar (for the College's policy on final examinations, see "Final Examination").
- Teaching responsibilities extend outside of organized class time; instructors keep scheduled office hours and are available before and after class and at other times by appointment (see "The Required Syllabus").
- If an instructor cannot meet a class as scheduled, she/he must make other arrangements for instruction and notify students and the department well in advance.

# **The Required Syllabus**

The <u>University Operations Manual (III-15.2(k))</u> requires instructors to provide specific course information on the first day of classes "in order that students can make knowledgeable choices about whether to take a particular course." All students must receive, at the first class meeting or on the first day they attend class, a syllabus with the following information. (It is not sufficient to have an on-line syllabus only.) (see "7.8 Required Elements for Syllabus")

# **Training and Supervision of Teaching Assistants**

The preparation of teaching assistants is an important part of the teaching responsibility of faculty. Every department maintains procedures for the preparation of new teaching

assistants and for the further development of teaching skills in continuing teaching assistants.

At no time may a teaching assistant, even one who has the responsibility of teaching a separate section of a course or one who has had many years of teaching experience, be without a course supervisor. The course supervisor ensures that departmental and collegiate standards are upheld in the course and that departmental and collegiate policies are adhered to. The course supervisor's name must appear on the syllabus (see "The Required Syllabus").

The Office of Teaching, Learning & Technology (see "<u>The Office of Teaching, Learning & Technology</u>") also supports the preparation of graduate students, through a *Handbook for Teaching Assistants*, workshops, and other programs.

#### **Textbooks and Instructional Materials**

#### Textbook Ordering

Instructors must order textbooks well in advance of the approaching semester. A timely decision about what texts to use and order has many benefits. Prompt ordering of texts

- Ensures that students with disabilities can obtain their textbooks in time to arrange accommodations (e.g., conversion of a printed text to a taped one);
- Enables students to sell textbooks at the end of the semester and helps ensure a supply of used textbooks at lower prices;
- Allows time for changes to be made if a book is out of print or if a new edition has been published.

Federal legislation has been approved requiring all institutions of higher education to list ISBN numbers and prices for required and recommended texts and supplemental materials for each offered course whenever feasible. Compliance is tied to students' access to federal financial aid and thus is crucial.

# **Textbook Royalties**

Faculty members who assign books or other materials which they have written, edited, or published and from which they receive royalties or other remuneration may not profit financially from the purchase of these materials by their students (*Operations Manual*, III-17.17(3)). The faculty member must either refund the money to the students who purchased these materials or make other arrangements to avoid profiting from the students' use of the materials. Faculty may, for example, transfer the remuneration to the University, one of its units, or The University of lowa Foundation (e.g., for a student scholarship fund).

#### Copyright Law

Instructors must take precautions when preparing course materials to prevent a violation of an author's or publisher's copyright. Both published and unpublished works, whether printed or distributed by electronic means (e.g., over the internet or by e-mail), are protected under the Copyright Act of 1976. Instructors developing course materials to be distributed via a course website or other electronic medium (e.g., CD-ROM or other storage medium) must also comply with copyright provisions. Copyright registration is not required for a work to be protected, nor is it necessary to see the material in order to commit copyright infringement.

The University Libraries' website has a useful discussion of copyright and fair use (<a href="http://guides.lib.uiowa.edu/copyright">http://guides.lib.uiowa.edu/copyright</a>). Exceptions for fair use of copyright materials are set forth in the Copyright Act of 1976. In all other cases, instructors must obtain permission from the owner of the copyright before copying or distributing materials.

Educational use by itself does not protect the user from copyright infringement. When in doubt, instructors should request permission from the owner of the copyright by contacting the publisher. Requests should be made as early as possible to allow time to make arrangements for substitute materials if permission is not granted.

Questions about copyright law should be directed to the Office of the Vice President for Research (201 Gilmore Hall, 335-2119).

### Prohibition on Direct Sale of Classroom Materials

University policy prohibits "the sale of any classroom educational materials to students by faculty, staff, or departments" (*Operations Manual*, VI-19). This policy is not intended to restrict course development or impede course instruction. However, it does preclude faculty and staff from selling course packs or other course materials directly to students or collecting fees or other course fees directly from students. All course fees must be approved by the College and University and collected through the University billing system (see "Supplemental Course Fees").

# Information Technology Resources

Instructors increasingly use new information technologies in developing their courses and construct assignments that lead students to these resources. The University's Policy on Acceptable Use of Information Technology Resources is in the *Operations Manual*, II-19.

The College encourages all instructors to investigate and, if appropriate, to adopt the <a href="ICON">ICON</a> course management system. ITS's staff provides support for instructors

(faculty, staff, graduate students) who would like to set up new courses in ICON and modify existing courses.

Faculty, staff, and students using information technologies are responsible for recognizing and honoring the intellectual property rights of others and making attribution as appropriate; refraining from improper intrusions into others' computer accounts or files; respecting rights of property and restrictions on access to and use of information; and refraining from wasting resources or preventing others' access.

The University's <u>Main Library</u> and <u>Office of Teaching, Learning & Technology</u> provide resources for instructors interested in increasing their use of electronic information resources.

#### Field Trip Policy

A field trip is an off-campus educational/instructional experience provided by UI faculty to students which involves travel for the group. The College of Public Health recognizes that field trips can be an important pedagogical tool in many courses. Faculty members are encouraged to include field experiences in their courses when appropriate and feasible. Instructors must remember that the field trip is an extension of the classroom, and brings with it all the responsibilities faculty have in the classroom: Faculty, staff, and students must comply with University policies while on field trips just as they would on campus. The instructional activities and setting during the field trip should conform with the University's policies including those concerning alcohol and drug use, vehicle use, student misconduct, smoking, the Iowa gift law, principles of academic freedom, policy on sexual harassment, and consensual relationships. When a College of Public Health faculty member organizes a field trip, all University rules on field trips must be followed (for a complete statement of University policy, contact the Risk Management, Insurance, and Loss Prevention office).

In some courses, the field trip will be mandatory; and in some cases, all course credit will be generated via a field trip. In other cases, a field trip may be an optional portion of a course. In any course that includes a required field trip, there must be adequate information for students prior to registration. The course description must include the duration and cost of the field trip, and clearly indicate that the field trip is required. Information on optional field trips should also be included in course descriptions, if possible. In either case, all costs for the field trip must be prorated per student, and students may only be charged for those fees and expenses directly related to their own experience. Student fees may not cover faculty members' or other expenses. When a field trip is optional, the instructor must ensure that students who participate in the optional field trip receive no direct grade advantage.

#### **Class Lists**

To receive credit for a course, students must register for the course by the designated deadline, as determined by the <u>Office of the Registrar</u>. Instructors should not allow students to attend classes unless they are registered for the course.

Instructors should access their class lists through the web tool MAUI, which is managed by the Office of the Registrar. Instructors need to review and update their class lists four times each semester and three times during the summer session. Instructors are prompted by email from the Office of the Registrar to review and update their lists at designated times. The final review also includes submission of final grades to their DEO and Office of the Registrar.

#### **Student Attendance**

The University's *Operations Manual* requires that students be permitted to make up examinations missed because of illness, mandatory religious obligations, certain University activities (see below), or unavoidable circumstances.

Within the parameters of the University's guidelines, the individual instructor, course director, or department determines the policy on class attendance. Attendance policies should be clearly stated in the materials distributed on the first day of class (see "The Required Syllabus").

In developing attendance policies, the College asks instructors to provide for students' participation in authorized University activities. Students participating in such activities are expected to present to each instructor before each absence a statement signed by a responsible official that specifies the dates and times the student must miss class. Authorized activities include participation in athletic teams, the marching band and pep band, debate teams, and other recognized University groups, as well as participation in University field trips, service with the National Guard, and jury duty.

Whether or not the attendance policy states that attendance affects the course grade, students should be warned that poor attendance is likely to affect the quality of their work and their success in the course.

The attendance policy should provide information for students outlining their options for "making up" work missed due to an absence.

Instructors also have the option of assigning a grade of incomplete (I) if the circumstances warrant.

Absences Due to Illness and Other Emergencies

The College also recommends that attendance policies include procedures to accommodate student illness and absences due to family emergencies, including dependents' illnesses. Clinicians at <a href="Student Health Services">Student Health Services</a> are always willing to discuss issues of illness and accommodation with instructors. Student Health Services offers the following advice:

- Most students are honest and do not invent illnesses or other emergencies to avoid faculty expectations.
- Individuals differ markedly in their response to discomfort. Some students can attend class and take examinations when suffering from minor or even serious illness; others have a lower tolerance for stress, and their work can be legitimately compromised by even minor illness.
- A student may have a chronic illness that necessitates special arrangements.
   Early in the semester, ask students to notify you of any such condition.
   Consider referral to Student Disability Services (see "Students with Disabilities" below).
- A student may have an underlying condition (such as anxiety disorder, panic disorder, attention deficit disorder, depression, or alcohol or drug dependency) that can be exacerbated by illness. The student may not be willing to disclose this information when asking for a medical accommodation.

#### **Students with Disabilities**

Under the Americans with Disabilities Act and Section 504 of the Rehabilitation Act of 1973, instructors must make reasonable accommodations for students who have physical, mental, or learning disabilities.

The student is responsible for requesting accommodations. Because some students may be reluctant to identify themselves or to request modifications, instructors are required to make the following announcement during the first class meeting and to include it on the syllabus:

An adviser or instructor who believes that a student's academic performance has been affected by an undiagnosed learning disability may refer the student to the Office of Student Disability Services for formal assessment.

The student must contact <u>Student Disability Services</u> and obtain a Student Academic Accommodation Request form (SAAR). The form will specify what course accommodations are judged reasonable for that student. An instructor who cannot provide the accommodations specified, or who has concerns about the accommodations, must contact the Student Disability Services counselor who signed the request form within 48 hours of receiving the form from the student.

Some examples of course-related accommodations are:

- allowing extended, but not unlimited, time for completion of examinations;
- allowing examinations to be written in a quiet, low-stimulus environment;
- permitting the use of dictionaries during in-class writing assignments;
- using alternative methods to assess mastery of course content (e.g., narrative tapes instead of journals);
- allowing papers to be proofread for spelling and grammatical errors;
- providing specially trained tutors for course content.
- using computer software to assist in the completion of assignments (e.g., spell check, computer-based adaptive devices);
- allowing the use of taped materials and resources to assist the student in reading, listening, and speaking;
- allowing students to dictate essays to a scribe.

See also "General Examination Procedures: Test Modifications for Students with Disabilities".

Students with complaints about disability accommodations must follow the procedures outlined above.

#### **Classroom Facilities**

# Smoking (including electronic cigarettes), Food, and Beverages in University Buildings

Use of tobacco, as defined by the University, is prohibited in any building, vehicle, or outdoor area owned, leased, or controlled by the University, regardless of location. This policy applies to all indoor air space, including individual faculty and administrative offices (*Operations Manual, V-35.5(a*)).

Food and beverages may be consumed in academic buildings only in areas designated by the college or department responsible for the area (*Operations Manual*, V-35.5(b)).

# **Disruption in the Classroom**

#### Disruptive Behavior

Students who are physically or verbally disruptive in class may be dealt with summarily by the instructor or referred to the Associate Dean for Education and Student Affairs. The instructor reports in writing to the Associate Dean for Education and Student Affairs any disciplinary action undertaken against a student.

If a student's behavior is violently disruptive, an instructor may wish to call the <u>Department of Public Safety</u> for assistance or call 911.

#### Sickness in Class

If a student becomes ill in class and requires emergency attention, the instructor should call the <u>Department of Public Safety</u> for assistance in transporting the student to the Student Health Service or call 911 for an ambulance.

#### **General Examination Procedures**

The following recommendations are intended to provide equivalence in the conduct of examinations and to minimize opportunities for dishonesty. These recommendations are not meant to be exhaustive or mandatory, but to set forth basic principles with which each instructor should be familiar.

- Examinations should be effectively proctored; the room should never be left unattended. Whenever feasible, there should be two proctors for an examination of 25 to 50 students, and an additional proctor for each additional 50 students.
- When space permits, students should be asked to sit in alternate seats.
- At the beginning of all except announced "open-book" examinations, the instructor should require that all books, notebooks, extra examination booklets, and papers of any kind be left in the front of the room.
- The instructor should announce at the beginning of the examination that students are expected to remain in the room until they are ready to turn in their examinations. Permission to leave the room while the examination is in progress may be granted by the instructor for good cause. Only one student may be absent from the examination room at a time.
- In objective examinations it is recommended that two sets of questions, or the same questions in different order, be distributed alternately to students.

When a student is discovered cheating during an examination, the proctor should take up the student's examination booklet and/or papers immediately, informing the student that College policy requires that the matter be reported.

#### **Makeup Examinations**

University policy requires that students be permitted to make up examinations missed because of illness, mandatory religious obligations, or other unavoidable circumstances or University activities (*Operations Manual*, IV-8.1). Therefore, instructors must offer reasonable options without penalty to students who have missed examinations for legitimate reasons.

It is the student's responsibility to contact the instructor as soon as possible about the reasons for a missed exam and, if the instructor so wishes, to provide appropriate documentation.

Makeup examinations should be scheduled at a reasonable time and location. The makeup examination, if different, should be equivalent to the original in form, content, difficulty, and time limits, and the standards for scoring and grading should be equivalent to those used for the original examination.

#### Construction Noise during Examinations

The policy of the University's Operations and Maintenance office is to stop construction immediately when the work disturbs an examination in progress. The instructor in charge of an examination should promptly report such problems to <a href="Buildings and Landscape Services">Buildings and Landscape Services</a> (335-5071) or to the construction workers, asking them to contact their supervisor.

See also "Final Examinations: Disruptions of Final Examinations".

#### **Conflicts between Examinations and Class Activities**

The following policies apply to examinations scheduled during the semester. See "Final Examinations: Scheduling Adjustments for Individual Students", for conflicts involving final examinations.

- When there is a conflict between an examination scheduled outside of class time and a regularly scheduled course, the regularly scheduled course takes precedence.
- When there is a conflict between two examinations scheduled outside of regular class time, the course having the lower department number (or lower course number if the conflict is within the department) takes precedence.
- When there is a conflict between an examination scheduled outside of class time and other scheduled, required course activities (e.g., performances, meetings, lectures), the required course activity takes precedence.
- When there is a conflict between an examination scheduled outside of class time and other scheduled, nonrequired course activities or personal obligations, the examination takes precedence. However, examinations not scheduled and announced at least 14 days in advance do not have priority under this policy.

When instructors plan to give examinations outside of class time, they should announce the dates and times and list them in handouts distributed to students at the first class meeting.

#### **Final Examinations**

#### Policy on Administration of Final Examinations

- 1.) Instructors administering final exam week/day activities are required to use the date, time and location, if applicable, as assigned by the Office of the Registrar.
- 2.) Only final examinations and makeup final examinations are to be given during final

examination week or on final examination days. Review sessions will be allowed prior to a course section's assigned final examination date and time provided classroom resources are available.

- 3.) Examination periods are two hours in length and begin at the following times: 7:30am, 10:00am, 12:30pm, 3:00pm, 5:30pm and 8:00pm. While examination periods are scheduled for a two hour duration, instructors are not required to use the full two hour period.
- 4.) Until the final examination schedule has been published and all makeup final examination arrangements have been completed by faculty, students should be prepared to be on campus until the last exam period of final exam week/day.

#### **Makeup Final Examination Scheduling**

#### Qualifications for Requesting a Makeup Final Examination

Students who have:

- a.) two or more final exams/assessments scheduled for the same exam period (direct) or
- b.) more than two final exams/assessments scheduled for the same exam day (>-2 day)

qualify to request a makeup final examination time from their instructors. However, students are required to contact the instructors of the courses involved to register their intent to take advantage of this opportunity and must do so by October 1 for fall semesters and March 1 for spring semesters. During summer session students should contact their instructor by the end of the first week of the course in which they are enrolled. It is up to the instructors of the courses involved to work in cooperation with their students to schedule appropriate makeup final examination arrangements according to the makeup final examination scheduling policies.

## Makeup Final Examination Scheduling Policies

- 1.) The Friday, 5:30-7:30pm exam period will be reserved for makeup examinations. No regular final examinations will be scheduled during this exam period.
- 2.) All makeup final examinations should be scheduled during one of the designated exam periods to avoid creating conflicts.
- 3.) Final examination times published by the Office of the Registrar take precedence over makeup final examination times.
- 4.) Makeup exams for students with qualified conflicts should be assigned according to the following precedence rules using the new subject course numbering system.

- a.) During FALL semesters, courses having lower subject alpha characters or lower course numbers when the conflict is within a subject will take precedence. If the conflict is within the same subject and course then the lower section number will take precedence. \*
- b.) During SPRING semesters, courses having higher subject alpha characters or higher course numbers when the conflict is within a subject will take precedence. If the conflict is within the same subject and course then the higher section number will take precedence. \*

#### c.) During SUMMER sessions,

- i.) in odd numbered years, courses having lower subject alpha characters or lower course numbers when the conflict is within a subject will take precedence. If the conflict is within the same subject and course then the lower section number will take precedence. \*
- ii.) in even numbered years, courses having higher subject alpha characters or higher course numbers when the conflict is within a subject will take precedence. If the conflict is within the same subject and course then the higher section number will take precedence. \*
- d.) Evaluation of same time (direct) exam conflicts takes precedence over evaluation of more than two exams scheduled for the same exam day (>-2 day) conflicts. In situations where an individual student is affected by both a direct conflict and a >-2 day conflict which involve the same course section(s), then the direct conflict should be evaluated first to determine course eligibility for a makeup exam. If, after resolving the direct conflict(s),
- i.) the student no longer has more than two exams scheduled for the same exam day, then the student will take all remaining exams as scheduled.
- ii.) the student still has more than two exams scheduled for the same exam day, then the remaining course sections involved in the >-2 day conflict are evaluated until the student has no more than two exams scheduled for the same exam day.

#### Final Examinations in Classes Meeting in the Evening and on Saturdays

Classes that meet after 4:30 p.m. or on Saturdays have no specific time set aside for them in the final examination schedule. Instructors are asked to administer the final exam during exam week on the day and time of the regular class meeting, unless this conflicts with students' other scheduled examinations.

# Scheduling Adjustments for Individual Students

Instructors may make arrangements with individual students to take final examinations at times other than the regularly scheduled time if circumstances warrant. For example, no student is required to take more than three examinations in one day.

#### Absence from Final Examinations

If a student is unavoidably absent from a final examination, the instructor should report the student's grade in the course as I (Incomplete), unless previous work is

so poor that the student would fail regardless of the quality of performance in the final examination. In that case, the grade should be reported as F. If a student does not have a satisfactory excuse for missing a final examination, the instructor is justified in assigning an F in the course. If the student has an acceptable reason for being absent, the instructor should arrange to give the student a makeup examination during the student's next period in residence or earlier, if the instructor so prefers. If the student fails to take the makeup examination within the approved time limits, a grade of I (incomplete) in the course will automatically change to F.

#### Disruptions of Final Examinations

In the unlikely event that a final examination is disrupted by events other than construction noise (see "General Examination Procedures: Construction Noise during Examinations") – for instance, by a fire alarm, electrical outage, tornado warning, or other unpredictable incident-instructors must make whatever immediate decision seems appropriate to insure the safety of students. When possible, instructors should maintain examination security (for instance, by having students turn in examination papers as they leave the room). If the incident is of short duration, sufficient time may have elapsed (or remain) that the instructor may be able to simply shorten the examination.

The instructor should contact the DEO for help in creating an equitable solution to the grading problems that the disruption causes. In most cases, especially with large classes, it will not be possible to schedule a makeup examination. In situations where exam security has been maintained, some portion of credit may be allocated for the examination. In other cases, it may be appropriate to recalculate grades without including an examination grade.

Departments and instructors should strive to ensure that no student is unfairly penalized or favored by the policy adopted. The <u>Associate Dean for Education and Student Affairs</u> can also advise faculty and departments.

#### Forgery of University Records

The Code of Student Life prohibits forgery of University records, documents, or student identification cards. Staff members in the Registration Center routinely examine registration documents to verify the authenticity of advisers', instructors', and deans' signatures. If forgery is suspected, the questionable document is photocopied and sent directly to the person whose signature is in doubt.

If the signature is a forgery, the adviser or instructor informs the Associate Dean of Education and Student Affairs providing relevant information and an explanation of extenuating or unusual circumstances. The Associate Dean of Education and Student Affairs interviews students suspected of forgery and takes disciplinary

action based on the interview and verification provided by the adviser, instructor, or dean.

Disciplinary action includes, as the offense may warrant, disciplinary warning, disciplinary probation for one calendar year or until graduation, the reversal of the action requested by the forged document, or other penalties. If a student feels that the penalty imposed by the <u>Office of College Dean</u> is unjust, the student may request a review by the Dean of the Graduate College.

#### **Student Evaluation of Teaching**

Under College policy, evaluations of teaching must be solicited from students at the end of every course. Evaluations should include a standard set of 20 items selected by the College of Public Health, which include a question about the oral communication competence of the instructor (see "Oral Communication Competence", *Operations Manual III-13.1*).

#### Procedures for Student Evaluation of Teaching

Procedures for evaluation must ensure that student evaluations are anonymous and uninfluenced by the instructor.

As of the Fall 2015 semester, all College of Public Health student evaluations must be conducted using the ACE online format. Paper forms will no longer be available and may not be used. All courses must switch to the new online format.

The College of Public Health will continue to use the pre-approved ACE evaluation questions. However, instructors have the option to add additional questions to their online ACE evaluations.

Visit the **EES** web site for more information on deadlines, procedures, and best practices.

Instructors should follow these procedures:

- allow enough time for the evaluation.
- inform students that the process is important to the instructor for improving the course and teaching methods and that constructive recommendations will be taken seriously,
- remind students that the instructor will not have access to the evaluations until after grades have been submitted,
- leave the room while students are completing the forms.

#### Keeping Student Evaluations on Teaching on File

Student evaluations of teaching must be kept on file (electronically is acceptable) as evidence of teaching effectiveness in all reviews of teaching assistants, of clinical-track faculty, and of tenure-track and non-tenure-track faculty.

At the time of the tenure review, faculty must have all student evaluations since the beginning of the appointment available for inclusion in the promotion dossier. At the time of a tenured faculty review or review for promotion to full professor, tenured faculty must have available for the review dossier all student evaluations of teaching obtained for each course taught since the previous review. For a faculty member who undergoes tenured faculty review before review for promotion to full professor, the summary of teaching evaluations prepared for the tenured faculty review must be available at the time of the promotion review, as well as all student evaluations of teaching obtained after the tenured faculty review.

For teaching assistants, all student evaluations of teaching must be kept on file from the initial appointment until the time the individual leaves the University. For lecturers, visiting faculty, and adjunct faculty, the evaluations must be kept on file for five years. For clinical-track faculty, evaluation since the previous review for reappointment or promotion must be kept on file.

#### The Office of Teaching, Learning & Technology

The University's Office of Teaching, Learning & Technology (teaching@uiowa.edu) promotes and supports efforts to enhance instruction. The Office's staff works with individual instructors or with departments, colleges, and other academic units at the University. Any question about teaching is an appropriate one to direct to the Office.

The Office offers individual consultations (e.g., videotaping of teaching sessions and course planning consultations), workshops throughout the academic year, and a number of other programs. The most up-to-date information about the Office of Teaching, Learning & Technology is available on-line at <a href="http://teach.its.uiowa.edu/">http://teach.its.uiowa.edu/</a>.

The Office of Teaching, Learning & Technology also sponsors an e-mail discussion group called "teaching-talk." The group is open to all members of the University community and is dedicated to the discussion of issues related to teaching in all its forms. Information on joining the discussion group is available on the Office's website, or by contacting the Office of Teaching, Learning & Technology.